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FACSIMILE TRANSMITTAL SHEET

TO:	Examiner A. Dunwoody	FROM:	Harry Macey
COMPANY:	United States Patent and Trademark Office	DATE:	10/30/03
FAX NUMBER:	703-872-9326	TOTAL NO. OF PAGES INCLUDING COVER:	39
PHONE NUMBER:	703-306-3436	SENDER'S REFERENCE NUMBER:	PEG-2001CP1
RE:	Supplemental Information Disclosure Statement and Substitute Form 1449A for USSN 09/753,122		

OFFICIAL

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLEPLEASE DELIVER TO EXAMINER DUNWOODY
GROUP ART UNIT 3679

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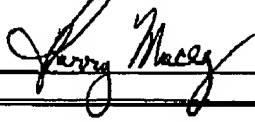
1301 SHOREWAY ROAD, SUITE 121
BELMONT, CA 94002-4106
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PATENT
Attorney Docket No.: PEG-2001CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF TRANSMISSION BY "FACSIMILE"

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Harry Macey

In re Application of:

Proctor, et al.

Serial No.: 09/753,122

Filing Date: December 29, 2000

Title: DUCT JOINING SYSTEM

Examiner: A. Dunwoody

Group Art Unit: 3679

TRANSMITTAL

Commissioner for Patents
Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

1. Supplemental Information Disclosure Statement (2 pgs)
2. Substitute Form 1449A (1 pg) and a copy of all cited references

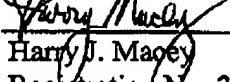
☐ Authorization to charge the _____ is provided on the _____. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge \$180.00 for the Supplemental Information Disclosure Statement to Deposit Account No. 50-1947 referencing Attorney Docket No. PEG-2001CP1. A duplicate copy of this sheet is enclosed.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. PEG-2001CP1.

Respectfully submitted,

Date: October 30, 2003

By: 
Harry J. Macey
Registration No. 32,818
Attorney for Applicant

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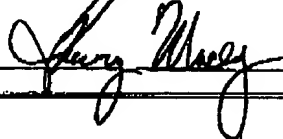
PATENT
Attorney Docket No.: PEG-2001CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Proctor, et al.

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Examiner: A. Dunwoody

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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents
Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicant submits for consideration in the above-identified application the documents listed on the attached Substitute Form 1449A. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☒ A fee is required. Authorization to charge the undersigned's deposit account \$180.00 is attached.

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- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided below.


Applicant would appreciate the Examiner initialing and returning the Substitute Form 1449A, indicating that the information has been considered and made of record herein.

This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. PEG-2001CP1. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: October 30, 2003

By: 
 Harry J. Macey
 Registration No. 32,818
 Attorney for Applicant

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/753,122
		Filing Date	December 29, 2000
		First Named Inventor	John P. Proctor
		Group Art Unit	3679
		Examiner Name	A. Dunwoody
		Attorney Docket Number	PEG-2001CP1
Sheet	1	of	1

103003

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1.	3,445,120		Barr	05/20/1969	
	2.	5,988,695		Corbett, Jr.	11/23/1999	
	3.	6,113,155		Beirens	09/05/2000	

FOREIGN PATENT DOCUMENTS								
Examiner Initials ¹	Cite No. ¹	Foreign Patent Documents			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁰
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	4.	NO	106715			05/22/1965		
	5.	DE	147961			04/29/1981		Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.